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PTO/SP-27 (03-00)

Approved for use through 10/31/2002. OMB 065-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/037,782	
	Filing Date	January 2, 2002	
	First Named Inventor	Ronald A. KATZ	
	Group Art Unit	2643	
	Examiner Name	S. Woo	
Total Number of Pages in This Submission	244	Attorney Docket Number	6646-114N10

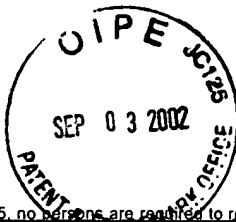
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ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks PTO Forms 1449 for SET I, SUPPLEMENTAL I, II, III, IV, V, VA, VI, VII, VIII, IX, X and XI; copies of PTO Forms 1449 for SET I, SUPPLEMENTAL I, II, III, IV, V, VA, VI, VII, VIII and IX from U.S. Application Serial No. 08/306,456; Return postcard		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Reena Kuyper, Registration No. 33,830
Signature	
Date	August 30, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: August 30, 2002			
Typed or printed name	Reena Kuyper	Date	August 30, 2002
Signature			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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PTO/SB/17 (11-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 0.00

Complete if Known

Application Number	10/037,782
Filing Date	January 2, 2002
First Named Inventor	Ronald A. KATZ
Examiner Name	S. Woo
Group Art Unit	2643
Attorney Docket No.	6646-114N10

Technology Center 2600

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: 50-1636
Deposit Account Name: A2D, L.P.

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	201	740	370	Utility filing fee	
106	206	330	165	Design filing fee	
107	207	510	255	Plant filing fee	
108	208	740	370	Reissue filing fee	
114	214	160	80	Provisional filing fee	

SUBTOTAL (1) (\$) 0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims: 20** = ☐ X ☐ = 0.00
Independent Claims: 3** = ☐ X ☐ = 0.00
Multiple Dependent: ☐ = 0.00

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
103	203	18	9	Claims in excess of 20	
102	202	84	42	Independent claims in excess of 3	
104	204	280	140	Multiple dependent claim, if not paid	
109	209	84	42	** Reissue independent claims over original patent	
110	210	18	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$) 0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105	205	130	65	Surcharge - late filing fee or oath	
127	227	50	25	Surcharge - late provisional filing fee or cover sheet	
139	139	130	130	Non-English specification	
147	147	2,520	2,520	For filing a request for <i>ex parte</i> reexamination	
112	112	920*	920*	Requesting publication of SIR prior to Examiner action	
113	113	1,840*	1,840*	Requesting publication of SIR after Examiner action	
115	215	110	55	Extension for reply within first month	
116	216	400	200	Extension for reply within second month	
117	217	920	460	Extension for reply within third month	
118	218	1,440	720	Extension for reply within fourth month	
128	228	1,960	980	Extension for reply within fifth month	
119	219	320	160	Notice of Appeal	
120	220	320	160	Filing a brief in support of an appeal	
121	221	280	140	Request for oral hearing	
138	138	1,510	1,510	Petition to institute a public use proceeding	
140	240	110	55	Petition to revive - unavoidable	
141	241	1,280	640	Petition to revive - unintentional	
142	242	1,280	640	Utility issue fee (or reissue)	
143	243	460	230	Design issue fee	
144	244	620	310	Plant issue fee	
122	122	130	130	Petitions to the Commissioner	
123	123	50	50	Processing fee under 37 CFR 1.17(q)	
126	126	180	180	Submission of Information Disclosure Stmt	
581	581	40	40	Recording each patent assignment per property (times number of properties)	
146	246	740	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	249	740	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	279	740	370	Request for Continued Examination (RCE)	
169	169	900	900	Request for expedited examination of a design application	

Other fee (specify) Terminal Disclaimer

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.00

SUBMITTED BY

Name (Print/Type)	Reena Kuyper	Registration No. (Attorney/Agent)	33,830	Telephone	(310) 247-8191
Signature	<i>Reena Kuyper</i>	Date	August 30, 2002		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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Patent
6646-114N10

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Ronald A. KATZ

Serial No.: 10/037,782

Filed: January 2, 2002

For: VOICE-DATA TELEPHONIC
INTERFACE CONTROL SYSTEM

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) Group Art Unit: 2643
)
) Examiner: Woo, S.
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)
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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97(b)

Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicant is submitting Forms PTO-1449 (SET I, SUPPLEMENTAL I, II, III, IV, V, VA, VI, VII, VIII, IX, X, and XI) citing prior art that was submitted for consideration by the same Examiner in parent application Serial No. 08/482,218 and parent application Serial No. 08/306,456 (now U.S. Patent No. 6,335,965). Copies of Forms PTO-1449 (SET I, SUPPLEMENTAL I, II, III, IV, V, VA, VI, VII, VIII, IX and one other received by the U.S. Patent office on November 13, 1998) that were considered by the Examiner in a parent application Serial No. 08/306,456 (evidenced by the Examiner's signature on the attached copies

CERTIFICATE OF MAILING
(37 C.F.R. § 1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Date of Deposit

August 30, 2002

Reena Kuyper
Reena Kuyper

of Forms PTO-1449) are also provided. Applicant is submitting copies simply to make a record in this file of the body of art considered by the Examiner in Applicant's related Application Serial No. 08/306,456.

Since submitting these Forms PTO-1449 with respect to parent Serial No. 08/306,456, other information or references had come to Applicant's attention (cited in parent application Serial No. 08/482,218) that may be of interest. Applicant respectfully notes that such information is not more relevant than the cumulative art of record before the U.S. Patent and Trademark Office. Nonetheless, Applicant respectfully submits this information for the Examiner's independent consideration. An additional Form PTO-1449 that is captioned Supplemental X cites materials brought to Applicant's attention by a company named Vanguard Group, Inc. Applicant has also learned of a Brigham Young University (hereafter "BYU") BYU reference, likely, a thesis found in the BYU library, or possibly the BYU student registration system, that may be of background interest. Applicant was not provided with a copy of this reference and therefore cannot provide it to the U.S. Patent and Trademark Office. Supplement X also cites an article entitled "*100 Cool Call Center Things*," which describes when specific aspects of call center technology were introduced.

Also, Applicant submits an additional Form PTO-1449, captioned Supplemental XI, which cites patents recently cited by Examiner, in Applicant's related Application Serial No. 09/861,377. The undersigned is providing copies of references cited in Supplemental X. It is assumed that the Examiner can obtain copies of the patents cited in Supplemental XI on-line.

Applicant requests that under 37 C.F.R. 1.97(b), the accompanying Forms PTO-1449 that list references should be placed in this file to make it available for future access by others.

The items identified in this Information Disclosure Statement may or may not be “material” pursuant to 37 C.F.R. § 1.56. The submission of these documents by Applicant should not be construed as an admission that any such patent, publication or other information referred to in these documents is material or considered to be material (37 C.F.R. § 1.97(h)), or even qualifies as “prior art” under 35 U.S.C. § 102 with respect to this invention, unless specifically designated by Applicant as such.

The filing of this Information Disclosure Statement should not be construed to mean that a search has been made or that no other material information, as defined in 37 C.F.R. § 1.56, exists.

This Information Disclosure Statement is being filed in accordance with 37 C.F.R. §§ 1.97(b) and 1.98. The Examiner is hereby authorized to charge any deficiencies or any additional fee required by this paper to A2D L.P.’s Deposit Account No. **50-1636**.

Dated: August 30, 2002
9220 Sunset Blvd., Suite 315
Los Angeles, California 90069
(213) 489-1600

Respectfully submitted,
Reena Kuyper
By: Reena Kuyper
Reg. No. 33,830